

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
*( Not for submission under 37 CFR 1.99)*

Application Number		10809144
Filing Date		2004-03-25
First Named Inventor	Robert Costa	
Art Unit	1642	
Examiner Name	Mark Halvorson	
Attorney Docket Number	03-284-E	

**U.S.PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6407062		2002-06-18	Sherr, et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S.PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20060014688	A1	2006-01-19	Costa, et al.	

If you wish to add additional U.S. Published Application citation information please click the Add button.

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
	1	2005/054870	WO	A2	2005-06-16	Vanderbilt University		<input type="checkbox"/>
	2	2004/100977	WO	A1	2004-11-25	The Board of Trustees of the University of IL		<input type="checkbox"/>
	3	2004/018676	WO	A2	2004-03-04	The University of British Columbia		<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10809144
Filing Date	2004-03-25
First Named Inventor	Robert Costa
Art Unit	1642
Examiner Name	Mark Halvorson
Attorney Docket Number	03-284-E

4	2004/019761	WO	A2	2004-03-11	The Board of Trustees of the University of IL	<input type="checkbox"/>
5	2006/009575	WO	A1	2006-01-26	The Board of Trustees of the University of IL	<input type="checkbox"/>
6	2007/109609	WO	A2	2007-09-27	The Board of Trustees of the University of IL	<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T5
	1	KALINICHENKO, VLADIMIR, V. ET AL., "Foxm1b Transcription Factor is Essential for Development of Hepatocellular Carcinomas and is Negatively Regulated by the p19ARF Tumor Suppressor," <i>Genes &amp; Development</i> , 2004, vol. 18, pp. 830-850.	<input type="checkbox"/>
	2	POTENTE, MICHAEL, ET AL., "11, 12-Epoxyeicosatrienoic Acid-Induced Inhibition FOXO Factors Promotes Endothelial Proliferation by Down-Regulating p27kip1," <i>The Journal of Biological Chemistry</i> , 2003, vol. 278, no. 32, pp. 29619-29625.	<input type="checkbox"/>
	3	ECHEVERRI, CHRIS, ET AL., "siRNA Design: It's All in the Algorithm," <i>Ambion Technotes</i> , May 2004, vol. 11, no. 3, from the World Wide Web, < <a href="http://www.ambion.com/techlib/trn/113/14.htm">http://www.ambion.com/techlib/trn/113/14.htm</a> >.	<input type="checkbox"/>
	4	BROWN, DAVID, "RNAi as a Tool for Mammalian Gene Analysis: Applications of siRNAs," <i>Ambion Technotes</i> , October 2003, vol. 10, no. 5, from the World Wide Web, < <a href="http://www.ambion.com/techlib/trn/105/14.html">http://www.ambion.com/techlib/trn/105/14.html</a> >.	<input type="checkbox"/>
	5	MILHAVET, OLLIVIER, ET AL., "RNA Interference in Biology and Medicine," <i>Pharmacological Reviews</i> , 2003, vol. 55, no. 4, pp. 629-648.	<input type="checkbox"/>
	6	KATOH, MASUKO, "Human FOX Gene Family (Review)," <i>International Journal of Oncology</i> , 2004, vol. 25, no. 5, pp. 1495-1500.	<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10809144
Filing Date	2004-03-25
First Named Inventor	Robert Costa
Art Unit	1642
Examiner Name	Mark Halvorson
Attorney Docket Number	03-284-E

7	RYE, P.D., ET AL., "Interfering with Cancer: A Brief Outline of Advances in RNA Interference in Oncology," Tumour Biology: The Journal of the International Society for Oncodevelopmental Biology and Medicine, 2004, vol. 25, no. 5-6, pp. 329-336. <input type="checkbox"/>
8	U.S. Patent Application Number 11/571,030 (U.S. Counterpart of WO2006/009575). <input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.